

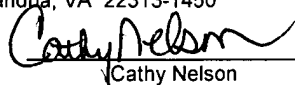


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/738,454  
Applicant : Wittrup et al.  
Filed : December 16, 2003  
TC/A.U. : 1636  
Examiner : David Guzo  
For : YEAST CELL SURFACE DISPLAY  
OF PROTEINS AND USES THEREOF  
Docket No. : 97-99E  
Customer No.: 23713

Confirmation No. 8855

Commissioner for Patents  
MAIL STOP AMENDMENT  
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| CERTIFICATE OF MAILING  |   |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for <b>Express Mail</b> in an envelope addressed to:<br>Commissioner for Patents, Mail Stop Amendment,<br>PO Box 1450, Alexandria, VA 22313-1450 |   |
| February 17, 2006<br>Date   | <br>Cathy Nelson |
| EV 758 238 138 US<br>Express Mail Tracking Number   |   |

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Further to the Information Disclosure Statements filed June 25, 2004 and December 9, 2004, the Examiner is respectfully requested to consider the additional references, copies enclosed, which may qualify as prior art. For the Examiner's convenience, the references are listed on the attached Patent and Trademark Office Form PTO-1449. Pursuant to 37 C.F.R. 1.98(a)(2)(ii), copies of cited U. S. patents and U. S. patent application publications are not included, but will be provided upon request.

References known to the applicants have been listed on PTO-1449. That information is cited in a spirit of forthrightness and cooperation to enable the applicants to obtain that measure of protection for the invention to which there is entitlement. However, no representation is made that the listed art actually qualifies as prior art under the patent statute and the mere use of PTO-1449 is not an admission that all

listed references are prior art. No representation is made that applicants know of the best art.

This submission is accompanied by a check in the amount of \$180.00 under 37 C.F.R. 1.17(p) in accordance with 37 C.F.R. 1.97(c)(2). If the enclosed amount is incorrect, please charge any deficiency or credit any overpayment to Deposit Account No. 07-1969.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Susan K. Doughty".

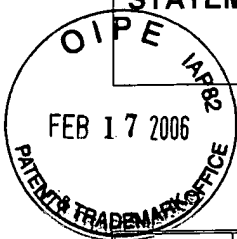
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Attorney Docket No. 97-99E  
February 17, 2006

Substitute for form 1449/PTO, based on PTO/SB/08A and 08B

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

|                        |                          |
|------------------------|--------------------------|
| Application Number     | <b>10/738,454</b>        |
| Filing Date            | <b>December 16, 2003</b> |
| First Named Inventor   | <b>WITTRUP et al.</b>    |
| Art Unit               | <b>1636</b>              |
| Examiner Name          | <b>David Guzo</b>        |
| Attorney Docket Number | <b>97-99E</b>            |

**U.S. PATENT DOCUMENTS**

| Examiner Initial* | Cite No. <sup>1</sup> | Document Number (US-) | Publication Date (MM-DD-YYYY) | Name              | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear (or entire document unless noted otherwise) |
|-------------------|-----------------------|-----------------------|-------------------------------|-------------------|---|
|                   |                       | 5,565,332             | 10/15/1996                    | Hoogenboom et al. |   |
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|                   |                       | 20040029113           | 02/12/2004                    | Ladner et al.     |   |
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|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>Applicant is to place a check mark here or "x" if English language Translation is attached.

|  |   |                        |                          |
|--|---|------------------------|--------------------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> | Substitute for form 1449/PTO, based on PTO/SB/08A and 08B | Application Number     | <b>10/738,454</b>        |
|  |   | Filing Date            | <b>December 16, 2003</b> |
|  |   | First Named Inventor   | <b>WITTRUP et al.</b>    |
|  |   | Art Unit               | <b>1636</b>              |
|  |   | Examiner Name          | <b>David Guzo</b>        |
|  |   | Attorney Docket Number | <b>97-99E</b>            |

### FOREIGN PATENT DOCUMENTS

| Examiner Initial* | Cite No. <sup>1</sup> | Foreign Patent Document Number (include WIPO country code) | Publication Date (MM-DD-YYYY) | Name              | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear (or entire document unless noted otherwise) | T <sup>2</sup> |
|-------------------|-----------------------|--|-------------------------------|-------------------|---|----------------|
|                   |                       | WO 0179481   | 10/25/2001                    | Ladner et al.     |   |                |
|                   |                       | WO 0200728   | 01/03/2002                    | Gyuris et al.     |   |                |
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|                   |                       | EP 0934526   | 01/08/2003                    | Logtenberg et al. |   |                |
|                   |                       |  |                               |                   |   |                |

### NON-PATENT LITERATURE DOCUMENTS

| Examiner Initial* | Cite No. <sup>1</sup> | REFERENCE   | T <sup>2</sup> |
|-------------------|-----------------------|---|----------------|
|                   |                       | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |                |
|                   |                       |   |                |
|                   |                       |   |                |
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|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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